

General Information

All information provided must be valid and current.

[a] Legal Name of Entity

[b] Type of Entity

[corporation, limited partnership, trust, etc.]

[c] Date of Formation

[d] Province/State/Region and Country of Formation

[e] Registered Address

[f] Mailing Address

[if different to Registered Address]

[g] Contact Email Address

[h] Contact Telephone Number

[i] Description of your principal business and industry

[i.e. hedge fund, family office, crypto OTC desk, crypto miner]

[j] Corporate Registration Number/Tax ID

[i] What is the number of orders you expect to place per month

[i.e. 5 orders/month, 500 orders/month]

[j] Please provide a range of the expected order values per order

[i.e. C\$100,000 per order, US\$5,000,000 per order]

Yes No

(l) Will you trade on behalf of a third party and/or take instructions to trade on behalf of a third party?
[e.g. a client of your business or another individual you are trading on behalf of]

(n) If the answer to question **(l)** is yes and if the third party is an individual, please provide the third party's date of birth or, if the third party is an entity, the entity registration number and jurisdiction of formation

Yes No

(p) If "No" to **(o)**, please indicate if the Not-for-Profit solicits financial donations from the public.

(r) Senior Managing Officer

(t) Email of Chief Compliance Officer
[if applicable]

(v) Please indicate whether you are a regulated financial institution, and if so in which country(ies)
[if applicable]

Name:

Address:

Business/Occupation:

Relationship:

(m) If the answer to question **(l)** is yes, please provide the name, address, principal business or occupation and the nature of the relationship between the third party and yourself

Yes No

(o) If a Not-For-Profit, please indicate if the entity is a registered charity.

(q) Website URL

(s) Name of Chief Compliance Officer
[if applicable]

Yes No

(u) Please indicate whether any director or 25% beneficial owner has been previously bankrupt, ever had a processing agreement terminated by a bank or ever been subject to an administrative or monetary penalty in relation to anti-money laundering, suppression of terrorism and sanctions reporting regulations. If so, please attach an addendum to this form setting out the particulars of such event

Yes No

(w) Have you adopted policies and procedures related to anti-money laundering, suppression of terrorism and sanctions reporting?

Authorized Trading Representatives

Individuals authorized to use this account to transact with or through Paycase. Please replicate fields as required.

Authorized Trading Representative 1

[a] Legal Name of Individual

[b] Title/Position

[c] Email Address

[d] Residential Address

Authorized Trading Representative 2

[a] Legal Name of Individual

[b] Title/Position

[c] Email Address

[d] Residential Address

Authorized Trading Representative 3

[a] Legal Name of Individual

[b] Title/Position

[c] Email Address

[d] Residential Address

Authorized Trading Representative 4

[a] Legal Name of Individual

[b] Title/Position

[c] Email Address

[d] Residential Address

Beneficial Ownership

The sections below are to be completed by each owner/director of the company owning 25% or more shares.

Beneficial Owner 1

(a) Full Legal Name

(b) Title/Position

(c) Residential Address

(d) Citizenship

Please attach a scanned copy of Photo ID [i.e. Passport or Driver's License]

(e) Ownership Percentage [%]

(f) Occupation

(g) Date of Birth

Beneficial Owner 2

(a) Full Legal Name

(b) Title/Position

(c) Residential Address

(d) Citizenship

Please attach a scanned copy of Photo ID [i.e. Passport or Driver's License]

(e) Ownership Percentage [%]

(f) Occupation

(g) Date of Birth

Beneficial Owner 3

(a) Full Legal Name

(b) Title/Position

(c) Residential Address

(d) Citizenship

Please attach a scanned copy of Photo ID [i.e. Passport or Driver's License]

(e) Ownership Percentage [%]

(f) Occupation

(g) Date of Birth

Beneficial Owner 4

(a) Full Legal Name

(b) Title/Position

(c) Residential Address

(d) Citizenship

Please attach a scanned copy of Photo ID (i.e. Passport or Driver's License)

(e) Ownership Percentage [%]

(f) Occupation

(g) Date of Birth**Directors** 

Please provide the following information in respect of each individual acting as director, trustee, general partner or in such other equivalent role within the entity. Please replicate fields as required. In the case of a trust, please also provide the name and address of all known beneficiaries and all settlors of such trust.

Director 1

(a) Full Legal Name

(b) Proof of ID: Please attach a scanned copy of Photo ID
(i.e. Passport or Driver's License)**Director 2**

(a) Full Legal Name

(b) Proof of ID: Please attach a scanned copy of Photo ID
(i.e. Passport or Driver's License)**Director 3**

(a) Full Legal Name

(b) Proof of ID: Please attach a scanned copy of Photo ID
(i.e. Passport or Driver's License)**Director 4**

(a) Full Legal Name

(b) Proof of ID: Please attach a scanned copy of Photo ID
(i.e. Passport or Driver's License)

Banking, Settlement and Wallet Information

[a] Account Holder Name

[b] Account Holder Address

[including street address, city, province/state, country and zip/postal code]

[c] Bank Name

[d] Address of Bank Branch

[including street address, city, province/state, country and zip/postal code]

[e] Bank Account Number

[f] Transit/ABA/IBAN Number

[g] SWIFT Code

[h] Reference you'd like us to include with wire transfers

[i.e. deal number, transaction number, invoice number]

[i] Currency of Account

[CAD or USD only]

[j] Proof of Bank Account Ownership

[i.e. Bank statement containing the Entity's name]

Documentation

Please provide the following documentation by either emailing the original electronic documentation to us at broker@paycasemarkets.com. Each category must be satisfied by a separate document from a separate source.

Original paper or electronic copies of such documents must be provided (copies, faxes and digital scans, as well as verbal confirmations, are not acceptable). Electronic documents must originate from a public source. Documents must be valid and unaltered - if the document has an expiry date, it must not be expired and, if the document has no expiry date, it must be recent. You must provide the most current available version of documents provided (for example, a utility bill should not be older than one month)

- (a)** In the case of a corporation, please provide one or more of the following documents demonstrating the existence of the corporation, the corporation's name and address, and registration number:
 - (i)** certificate and articles of incorporation;
 - (ii)** certificate of compliance / certificate of good standing;
 - (iii)** corporate resolution showing the retention of Paycase Markets for digital assets exchange services;
 - (iv)** proof of address for the corporation;
 - (v)** current shareholder register;
 - (vi)** any other record that confirms the corporation's existence, such as the corporation's published annual report signed by an independent audit firm, or a letter or a notice of assessment for the corporation from a municipal, provincial, territorial or federal government.

- (b)** In the case of an entity other than a corporation, please provide the limited partnership certificate / partnership agreement / declaration of trust / articles of association or similar formation documents that confirm the entity's existence and include related shareholder information and/or ownership.

- (c)** In addition, in the case where the beneficial owner of the entity is another business, please provide one or more of the documents listed below for that business in order to confirm ultimate beneficial ownership:
- (i) certificate and articles of incorporation;
 - (ii) certificate of compliance / certificate of good standing;
 - (iii) corporate resolution showing the retention of Paycase Markets for digital assets exchange services;
 - (iv) proof of address for the corporation;
 - (v) current shareholder register;
 - (vi) any other record that confirms the corporation's existence, such as the corporation's published annual report signed by an independent audit firm, or a letter or a notice of assessment for the corporation from a municipal, provincial, territorial or federal government.
- (d)** If the entity is a not-for-profit organization, please provide supporting documentation showing whether the entity has registered charity status for income tax purposes.
- (e)** If the entity is a not-for-profit organization but is not a registered charity, please provide supporting documentation showing whether the entity solicits charitable financial donations from the public.

Certification, Acknowledgement and Consent

Each of the undersigned individuals hereby certify, for and on behalf of the above-noted entity and not in his or her personal capacity and without personal liability that: [a] the information provided in connection with this form is valid and current; [b] the documents provided in connection with this form are originals of such documents and are valid and current; and [c] to the best of my knowledge (after due inquiry), except as disclosed in an addendum attached to this form (please attach an addendum if applicable), the above-noted entity is operating in compliance with all applicable law in all material respects.

By signing this form, you acknowledge that [a] Paycase Markets Corp. ["Paycase Markets"] may request further information and documentation from you from time to time in order to complete its intake process; and [b] prior to your completion of the intake process, Paycase Markets may decline to continue the intake process or offer you its services in its sole discretion.

By signing this form, you agree that Paycase Markets and its authorized service providers may collect, use and disclose personal information provided on this form for the purposes of: verifying the entity's identity; assessing the entity's eligibility to participate on the Paycase Markets platform; opening and administering the entity's account; complying with applicable legal and regulatory requirements; managing risk and administering our anti-money laundering program; detecting, suppressing and preventing fraud and other illegal activities; communicating with the entity about its account and transactions; and as otherwise required or permitted by applicable law. If information about a third party is provided to us, the undersigned agrees that it has obtained the consent of such third parties to do so. For more information about our privacy practices, see our Privacy Policy at <https://paycasemarkets.com/> or contact us at legal@paycasemarkets.com.

Signatory 1

[a] Signature

[b] Date

[c] Name

Signatory 2

[a] Signature

[b] Date

[c] Name