

Intake Form – Individual

General Information

All information provided must be valid and current.

[a] Legal Name of Individual

[b] Date of Birth

[c] Gender
[optional]

[d] Address

[e] Email Address

[f] Telephone

[g] What is your current occupation status
[employed, self-employed, student, retired, unemployed]

[h] Please provide us a description of your principal occupation,
industry and employer
[if employed or self-employed]

[i] What is the number of orders you expect to place per month
[i.e. 5 orders/month, 500 orders/month]

[j] Please provide a range of the expected order values per order
[i.e. C\$100,000 per order, US\$5,000,000 per order]

[k] What is your primary purpose for trading with Paycase Markets

Yes No

(l) Will you trade on behalf of a third party and/or take instructions to trade on behalf of a third party

[including an employee opening an account under his/her own name to trade on behalf of his/her employer]

(n) If the answer to question **(l)** is yes and, if the third party is an individual, please provide the third party's date of birth or, if the third party is an entity, the entity registration number and jurisdiction of formation

Name: _____

Address: _____

Business/Occupation: _____

Relationship: _____

(m) If the answer to question **(l)** is yes, please provide the name, address, principal business or occupation and the nature of the relationship between you and the third party

Yes No

Details: _____

(o) Are you a politically exposed person (PEP), domestic or foreign, as defined under Canadian AML regulation or are you an immediate family member of a PEP? A PEP includes heads of state, judges of appellate courts, ambassadors, heads of government agencies and ranking military officers. If yes, please provide details

Banking, Settlement and Wallet Information

(a) Account Holder Name

(b) Account Holder Address

[including street address, city, province/state, country and zip/postal code]

(c) Bank Name

(d) Address of Bank Branch

[including street address, city, province/state, country and zip/postal code]

(e) Bank Account Number

(f) Transit/ABA/IBAN Number

(g) SWIFT Code

(h) Reference you'd like us to include with wire transfers

[i.e. deal number, transaction number, invoice number]

(i) Currency of Account

[CAD or USD only]

Documentation

Please email the following documentation to broker@paycasemarkets.com.

The documents should be an original paper or electronic document – for example, a bank statement that was downloaded directly from your bank’s website (copies, faxes and digital scans are not acceptable).

They must also be current and unaltered – please provide the most current available version of the documentation. For example, a utility bill should not be older than a month. Equally, the document must not be expired.

Please provide us with:

(i) Photo ID (i.e. Passport or Driver’s License)

Please ensure the photo is clear and no information is missing.
Please include image as an attachment alongside this document in your reply email.

(ii) Bank account statement containing your address

Please ensure the photo is clear and no information is missing.
Please include image as an attachment alongside this document in your reply email.

(iii) Utility bill

Please ensure the photo is clear and no information is missing.
Please include image as an attachment alongside this document in your reply email.

Certification, Acknowledgement and Consent

You hereby certify that **(a)** the information provided in connection with this form is valid and current; and **(b)** the documents provided in connection with this form are originals of such documents and are valid and current.

By signing this form, you acknowledge that **(a)** Paycase Markets Corp. (“**Paycase Markets**”) may request further information and documentation from you from time to time in order to complete its intake process; and **(b)** prior to your completion of the intake process, Paycase Markets may decline to continue the intake process or offer you its services in its sole discretion.

By signing this form, you agree that Paycase Markets and its authorized service providers may collect, use and disclose your personal information (including the information provided on this form) for the purposes of: verifying your identity; assessing your eligibility to participate on the Paycase Markets platform; opening and administering your account; complying with applicable legal and regulatory requirements; managing risk and administering our anti-money laundering program; detecting, suppressing and preventing fraud and other illegal activities; communicating with you about your account and transactions; and as otherwise required or permitted by applicable law. If you provide us with information about a third party, you agree that you have obtained the consent of such third parties to do so. For more information about our privacy practices, see our Privacy Policy at

<https://paycasemarkets.com/> or contact us at legal@paycasemarkets.com.

(a) Signature

(b) Date

(c) Name